

**Senate Bill 480 Mental Health Law –
Assisted Outpatient Treatment Programs**

Finance Committee

February 28, 2023

Position: OPPOSE

The Mental Health Association of Maryland is a nonprofit education and advocacy organization that brings together consumers, families, clinicians, advocates and concerned citizens for unified action in all aspects of mental health and substance use disorders (collectively referred to as behavioral health). We appreciate the opportunity to provide this testimony in opposition to Senate Bill 480.

SB 480 would provide for the establishment of preventive Assisted Outpatient Treatment (AOT) programs in jurisdictions across the state.

AOT is a form of mandatory community mental health treatment. These types of programs are known by a variety of titles that are frequently used interchangeably, including “Assisted Outpatient Treatment,” “Outpatient Civil Commitment,” “Involuntary Outpatient Treatment,” and “Compulsory Treatment Orders.” These titles, however, do not convey the criteria or requirements of particular laws that have been enacted across the country, which fall under one of three categories:

- (1) *Less Restrictive Alternative to Inpatient Admission* – Over 30 states permit a court or administrative hearing officer to order an individual to adhere to community treatment *in lieu of* involuntary inpatient admission. This type of outpatient civil commitment is restricted to situations in which it has already been proven by clear and convincing evidence that the individual meets the inpatient commitment criteria, i.e., they are a danger to self or others.
- (2) *Conditional Release from Inpatient Hospitalization* – At least 40 states permit mandated community treatment as a condition of discharge for persons who have been involuntarily admitted on an inpatient basis.
- (3) *Preventive Outpatient Commitment* – Less than half the states¹ permit mandated community treatment for individuals who do not currently meet the inpatient commitment criteria but are believed to need mental health treatment to prevent ‘likely’ future hospitalizations.

¹ Grading the States: An Analysis of Involuntary Psychiatric Treatment Laws. Treatment Advocacy Center. September 2020.

Prevalence of AOT

Proponents of AOT assert repeatedly that Maryland is one of just a few states without the program. However, what those proponents fail to disclose is that – of the states that have ‘AOT’ – a minority of those states have laws that actually authorize mandatory community treatment for individuals who do not meet inpatient commitment criteria. The vast majority of states only authorize mandatory outpatient commitment *for individuals who already meet the inpatient commitment criteria*, making it a truly less restrictive alternative to inpatient hospital care.

Cost and Effect on Voluntary Services

Regardless of the specific type of outpatient civil commitment law, however, few states use it widely. It appears that only New York has developed a comprehensive program to implement its law. Undoubtedly, cost is a major factor in states’ decision not to use the program. On top of \$30+ million per year in administrative support costs, New York spends approximately \$125+ million annually in additional funding for enhanced community services to serve those on AOT as well as those seeking services voluntarily. Without significant additional funding attached to any AOT proposal, it will either be rarely used or it will result in “queue jumping,” in which people court-ordered to treatment will be prioritized for intensive services at the expense of those who seek such services voluntarily.

Disparities in Implementation

There is also evidence of racial disparities in the implementation of New York’s AOT law, with racial minorities finding themselves at a much higher risk for being court-ordered into treatment:

	Race/Ethnicity of Individuals Subject to NY AOT Orders ²	New York Total Population Race/Ethnicity Data ³
Black	38%	18%
Hispanic	26%	19%
White	31%	55%

These disparities mirror national disparities related to mental health diagnosis and inpatient commitment. Black individuals are up to four times more likely than whites to receive schizophrenia diagnosis – even after controlling for all other demographic variables⁴ – and more than twice as likely to be involuntarily committed to state psychiatric hospitals.⁵

Medication Limitations

People subject to AOT lose the right to make decisions about the psychiatric medications they may be required to take. This is of particular concern given the potential short- and long-term

² New York State Office of Mental Health, Assisted Outpatient Treatment Reports, Program Statistics, current through February 21, 2023.

³ United States Census Bureau. <https://www.census.gov/quickfacts/NY>

⁴ Barnes, A., Race, schizophrenia, and admission to state psychiatric hospitals (2004), Administration and Policy in Mental Health, Vol.31, No.3; Barnes, A., Race and Hospital Diagnosis of schizophrenia and mood disorders (2008), Social Work, Volume 53, Number 1.

⁵ Lewis, A., Davis, K., Zhang, N., Admissions of African Americans to state psychiatric hospitals, International Journal of Public Policy (2010). Volume 6, Number 3-4, pp. 219-236; Lawson, W.B., Heplar, H., Holladay, J., Cuffel, B. (1994) Race as a factor in inpatient and outpatient admissions and diagnosis. Hospital and community psychiatry, 45, 72-74; Lindsey, K.P. & Paul, G.L. (1989) Involuntary commitments to public mental institutions: (2010), Davis (2010).

side effects and the often-limited effectiveness of currently available treatments. Substantial treatment progress occurred in the 1980s to 1990s as a dizzying number of new medications appeared on the market. But a cure for mental illness remains elusive and there are now questions about the effectiveness of existing medications, with a new paper authored by scientists at the U.S. Food and Drug Administration showing the most prominent drugs for treating depression work better than placebos in only 15% of patients⁶. This growing acknowledgement of the limited effectiveness of many existing medications, along with a slowly rising chorus of concern about the long-term impact of psychotropic medications and renewed attention to alternative treatment approaches, make it unconscionable that people under AOT could be forced to take medications that may ultimately do more harm than good.

Anosognosia and Refusal of Treatment

AOT proponents argue that some individuals lack the capacity to understand their illness and must be forced into treatment. They claim this is due to a neurological condition known as anosognosia. Aside from the fact that this assertion effectively discredits in a single word any legitimate and informed concerns the person may have, there is no way to test for anosognosia so there is no way to target this population for mandatory treatment.

No Evidence of AOT Effectiveness

Lastly, there is slim evidence that AOT is as effective as its proponents' claim. Six independent systematic reviews of the body of involuntary outpatient commitment research found little to no evidence that people court ordered to community treatment have better outcomes than those receiving services voluntarily. The reviews found that, (1) outpatient commitment orders did not result in a greater reduction in hospital admissions⁷; (2) outpatient commitment orders have no significant effect on hospitalization or community service use⁸; (3) there is very little evidence to suggest outpatient commitment orders are associated with any positive outcomes⁹; (4) evidence that outpatient commitment reduces admissions or bed days is very limited¹⁰; (5) there is no significant difference in service use, social functioning or quality of life compared to standard care¹¹; and (6) it is not proven that coerced treatment works better than voluntary treatment.¹²

But there is evidence to support the idea that increased outreach and engagement to individuals with serious mental illness improves health outcomes. This is the approach stakeholders have been working to implement via an outpatient civil commitment (OCC) pilot

⁶ Moncrieff, J., Cooper, R.E., Stockmann, T. *et al.* The serotonin theory of depression: a systematic umbrella review of the evidence. *Mol Psychiatry* (2022). <https://doi.org/10.1038/s41380-022-01661-0>

⁷ Kisely SR, Hall K, Community Health Systems: An updated meta-analysis of randomized controlled evidence for the effectiveness of community treatment orders (March 2014). Canadian Psychiatric Association.

⁸ Maughan D, Molodynski A, Rugkåsa J, Burns T. A systematic review of the effect of community treatment orders on service use. *Soc Psychiatry Psychiatr Epidemiol.* 2014

⁹ Churchill, Rachel & Owen, Gareth & Singh, Swaran & Hotopf, Matthew. (2007). International Experience of Using Community Treatment Orders.

¹⁰ Kisely, S.R, Campbell, L.A, Scott, A (2007). Randomised and non-randomised evidence for the effect of compulsory community and involuntary outpatient treatment on mental health service use. *Psychol Med* 37(1), 3-14.

¹¹ Kisely S.R, Campbell L.A, Preston N.J. Compulsory community and involuntary outpatient treatment for people with severe mental disorders. *Cochrane Database Syst. Rev.* 3:CL004408. The review was updated in 2011. *Cochrane Database Syst. Rev.* 2.

¹² Ridgely, M. Susan, John Borum, and John Petrila, *The Effectiveness of Involuntary Outpatient Treatment: Empirical Evidence and the Experience of Eight States*. Santa Monica, CA: RAND Corporation, 2001.

program in Baltimore City, and we urge the legislature to support a continued evolution of this effort.

Maryland launched the OCC pilot in October 2017. The program offers a comprehensive range of community-based and client-centered services and supports – with a heavy focus on peer supports – to individuals committed involuntarily to an inpatient psychiatric hospital, either through voluntary engagement *or involuntarily as a condition of release*. Individuals served by the program are being effectively engaged, have experienced positive results, and have continued to participate in services – a major breakthrough in better serving a small yet high-cost population of hard-to-engage individuals whose needs have not been well met by existing programming.

We believe the effectiveness of Maryland’s OCC pilot program lies in its fundamental approach, which focuses on holding the behavioral health system accountable to the individual in the program rather than a more coercive approach that applies forced treatment and legal consequences for not following through with a treatment plan. The individuals served through the program have shown improved health outcomes and positive quality of life changes, including avoiding rehospitalization and a continued connection to treatment after 6 months.

Frustratingly, stakeholders working to expand enrollment in the OCC program have been stifled by a series of systemic challenges, including:

- *A delay in promulgation of regulations developed specifically to increase program enrollment.* The OCC stakeholder group spent several months in early 2021 drafting regulations to remove barriers that prevent the program from serving a greater number of people with complex mental health needs. In sum, these regulations would:
 - Expand residency requirements to expand access beyond Baltimore City to those living in contiguous zip codes
 - Ensure a prior commitment in a state hospital does not preclude OCC eligibility
 - Expand eligibility criteria to include emergency department visits, not just inpatient admissions
 - Remove the Administrative Law Judge hearing requirement for voluntary enrollments to allow for an expedited enrollment process and lessen the administrative burden on hospital social workers

These proposed regulatory changes were submitted to BHA on August 3, 2021, but they have yet to be acted upon.

- *Significant hospital hiring and retention challenges, particularly as relates to hospital social workers.* Due to staffing challenges, social worker caseloads are much higher, and per hospital reporting, they are often not able to complete an OCC referral and adhere to the administrative requirements of the referral process in addition to making other outpatient

referrals for the patient. Additionally, high turnover requires frequent education to bring new hires up to speed on the benefits and requirements of OCC.

- *ASO inability to produce data reports necessary to identify eligible patients.* Since the transition to the new Administrative Services Organization (ASO) at the beginning of 2020, the OCC program has not had access to frequent inpatient utilizer data. This data is critical in identifying potential OCC referrals and allows for more proactive outreach and engagement with hospitals. Multiple requests for this report have been made to the current ASO but it has still not been developed.

We believe the approach taken in the OCC pilot offers an effective and humane method of serving Marylanders with serious mental illness. We urge the legislature to support a continued expansion of this program instead of the more coercive AOT approach outlined in SB 480.

For these reasons, MHAMD opposes SB 480 and urges an unfavorable report.